

U. S. COAST GUARD UNINSPECTED PASSENGER VESSEL (UPV) VOLUNTARY 5 STAR SAFETY PROGRAM

Use this form to apply for vessel examination to qualify for one to five stars depending on amount and type of safety equipment carried. Upon successful examination of your vessel, a decal will be awarded.

For Office Use Only

Date Request for Exam Received:

Date Owner Contacted:

Date Decal Awarded:

Name of Examiner:

Location of exam:

Decal Type: (Circle)
Initial Repeat

Decal Number:

Star Rating : (Circle) 1 2 3 4 5

CA # or CG Document #: _____ Coast Guard Issued License Number: _____ Vessel Length: _____ Year Built: _____

Vessel Operational Endorsement: _____ HIN Number: _____

Make/Builder of Boat: _____ Hull Material: _____ Hull Color: _____ Trim Color: _____ Cabin Color: _____
 Aluminum
 Steel
 Fiberglass
 Wood

Vessel Name & Home Port: _____ Powered By: _____ Primary Area of Operation: _____ Harbor and Slip Number: _____ Start and End Dates of Operation: _____

OWNER OR OPERATOR INFORMATION

First Name: _____ Last Name: _____ Business Phone: _____
 Business Mailing Address: _____ Additional Owners: _____
 City: _____ State: _____ Zip: _____

OWNER OR OPERATOR CERTIFICATION OF STAR RATING

- ONE STAR:** Vessel meets all existing requirements for an uninspected small passenger vessel carrying six or less passengers for hire per 46 CFR Subchapter C.
- TWO STARS:** In addition to the requirements for one star, vessel has a means of communication (minimum 5 watt hand held VHF FM marine radio, SAT Phone, or equivalent).
- THREE STARS:** In addition to the requirements for two stars, vessel has a properly installed high capacity bilge pump and high water alarm (or equivalent).
- FOUR STARS:** In addition to the requirements for three stars, vessel has on board, a properly installed and registered Category I or II, 406 MHz Emergency Position Indicating Radio Beacon (EPIRB).
- FIVE STARS:** In addition to the requirements for four stars, vessel has a properly installed and serviced Coast Guard approved liferaft or Inflatable Buoyant Apparatus (IBA) for 100% of the passengers carried (or equivalent).

Liferaft/IBA Serial No.: _____ EPIRB Registration No.: _____ Type of Handheld VHF Radio / Sat Phone: _____ Bilge Pump Capacity (GPH): _____

PRIVACY ACT STATEMENT REGARDING APPLICATION TO THE 5 STAR SAFETY PROGRAM

In accordance with 5 USC 552a(e), the following information is provided in connection with this request to supply personal information to the United States Coast Guard.

AUTHORITY to solicit information: 46USC 4101-4106 and 46USC 4301-4311

PRINCIPAL purpose for information: Identification of uninspected passenger vessels for participation in the 5 Star Safety Program.

ROUTINE USES, which may be made of this information: Use by authorized Coast Guard personnel involved in administration of the 5 Star Safety Program.

DISCLOSURE of this information is voluntary. Failure to furnish requested information may result in non-issuance of a Star Rating decal.

I declare under penalties prescribed under 46 USC 7703 that I am the legal owner or operator of the vessel herein described and the description and all matters stated herein are true. I also acknowledge that transfer of ownership or failure to maintain my vessel in compliance with the voluntary Star Rating awarded requires immediate removal of the decal from my vessel and return to the nearest U.S. Coast Guard Office.

Signature: _____ Date: _____

Thank you for participating in the Small Passenger Vessel Voluntary 5 Star Safety Program!

CHECK LIST REQUIREMENTS FOR MINIMUM STANDARDS ABOARD UNINSPECTED PASSENGER VESSELS

Personnel Licensing	YES/ NO/ NA	Oil Pollution Prevention	YES/ NO/ NA
Operator has USCG license (*OUPV or Master) 46 CFR 15.605 & .905	() () ()	Prohibited Discharge Warning Placard (5" X 8") posted in machinery space or at bilge pump control station 33 CFR 155.45	() () ()
USCG License is in operator's possession 46 CFR 26.20-1(a)	() () ()	Proper retention of bilge slops practiced 33 CFR 155.330/350	() () ()
Operators have USCG licensed for waters navigated 46 CFR 15.401	() () ()	Oily mixtures retained on board then discharged at reception facility 33 CFR 155.330/350	() () ()
() Local Area () Inland () Great Lakes () Near Coastal () **Oceans [Over 200 miles]		Marine Sanitation Device	
* Operator Uninspected Passenger Vessel (OUPV) License		Is installed device a Certified Marine Sanitation Device (Type I, II or III) 33 CFR 159.7	() () ()
** OUPV endorsed for Oceans route is limited to not more than 100 miles offshore.		Marine Sanitation Device overboard shut off valve for Type III (Holding tank), closed & secured within 3 miles of shore 33 CFR 159.53(c)	() () ()
Sufficient number of operators for two watches (Voyages over 12 hrs only) 46 U.S.C. 8104(b)	() () ()	If Type I or II device, does the device have identification placard attached 33 CFR 159.55	() () ()
Documentation		If Type I device, was it installed before January 30, 1980 33 CFR 159.7	() () ()
Vessels, 5 net tons (26' or more) and over, documented for coastwise trade. 46 CFR 67.15	() () ()	Sound Signals	
Original Certificate of Documentation on board & properly endorsed and valid. 46 CFR 67.7/163	() () ()	Efficient whistle and bell or means of making efficient sound signal 33 CFR 86.239	() () ()
Official number clearly visible on interior structural part of hull in 3 inch high numbers 46 CFR 67.121	() () ()	Drug Testing –	
Vessel name and hailing port marked on stern and port/starboard side of bow 46 CFR 67.123	() () ()	Does company have a drug testing program (Consortium for single operator) 46 CFR 16.205	() () ()
Registration		Does the employer have evidence to verify: Pre-employment, serious marine incident, reasonable cause, & periodic tests have been done	() () ()
Original State Numbering Certificate on board 33 CFR 173.21	() () ()	46 CFR 16.210-220	() () ()
State Numbers clearly & permanently marked on each bow in block letters not less than 3 inches in height 33 CFR 173.27	() () ()	46 CFR 16.230	() () ()
General Safety		Aware of actions to be taken when individual fails a chemical test 46 CFR 16.201	() () ()
Safety orientation given (public announcements/instruction placards) 46 CFR 26.03-1(a)	() () ()	Records maintained by company (1 year negative results, 5 years positive) 46 CFR 16.260(a)	() () ()
Adequate emergency checkoff list posted in conspicuous/locations 46 CFR 26.03-2(a) & (b)	() () ()	Intoxicated Operation Aware of prohibition against operating a vessel while intoxicated 33 CFR 95	() () ()
Passenger counts taken 46 U.S.C. 3502	() () ()	Marine Casualty Reporting	
Aware of proper sexual offense reporting 46 U.S.C. 10104	() () ()	Operator aware of marine casualty reporting requirements 46 CFR 4.05-1	() () ()
Communications (Vessels over 65ft)		Approved alcohol testing devices on board. 46 CFR 4	() () ()
Marine Radio Installed		Distress Signals	
Single Sideband > 20 miles	() () ()	Day & Night Visual Distress Signals, number required readily accessible (No outdated onboard) 46 CFR 175.120	() () ()
FCC Ship/Station license 33 CFR 26.03	() () ()	Lifesaving Equipment	
Radio Operators Permit 47 CFR 80.163	() () ()	Type I approved life preserver of suitable size for each person aboard	46 CFR 25.25-5(c)(f) () () ()
Navigation		Retroreflective material on each life preserver 46 CFR 25.25-15	() () ()
Current Charts and Navigation Pubs	() () ()	Personal Flotation device light (Oceans, Coastwise, Near Coastal & Great Lakes Service Only) 46 CFR 25.25-1	() () ()
Copy of Inland Navigation Rules aboard (>39.4') 33 CFR 88.05	() () ()	Throwable life ring (vessels >26' = 24"; < 26' = 20") 46 CFR 25.25-5(d)	() () ()
Proper navigation lights 33 CFR 84	() () ()	Wearable lifesaving equipment readily accessible 46 CFR 25.25-9	() () ()
All round anchor light 33 CFR 84	() () ()	Throwable lifesaving equipment immediately available 46 CFR 25.25-9	() () ()
Fire Fighting Equipment		Lifesaving equipment in serviceable condition 46 CFR 25.25-11	() () ()
Mounted Fire extinguisher(s) USCG approved or UL listed for marine use 46 CFR 25.30(b)	() () ()	Lifesaving equipment marked showing USCG approval numbers 46 CFR 25.25-7	() () ()
Fire extinguisher(s) name plate attached 46 CFR 25.30-10(d)	() () ()	Discussion Items: as applies	
Minimum number portable fire extinguishers 46 CFR 25.30-20(a)(1) & (b)	() () ()	Float Plan () () ()	
Engineering Safety Equipment		First Aid Kit () () ()	
Backfire flame control properly installed 46 CFR 25.35-1	() () ()	Carbon Monoxide Detector () () ()	
Proper ventilation for fuel tanks 46 CFR 25.40	() () ()	Additional Requirements for the 5 Star Program	
Cooking, heating and lighting systems must be approved 46 CFR 25.45	() () ()	Installed Bilge Pump & High Water Alarms () () ()	
Garbage Pollution Prevention		VHF (5 watt handheld minimum) or Sat Phone () () ()	
Garbage placard(s) posted for vsls > 26 ft 33 CFR 151.59	() () ()	EPIRB 46 CFR 25.26	() () ()
Waste management plan for vsls > 40 ft 33 CFR 151.57	() () ()	Approved Liferaft or IBA for 100% of passengers within servicing limits or equivalent () () ()	
Overall Vessel Condition: as applies			
A) Deck Free of Hazards /Clean Bilge () () ()			
B) Electrical — Fuel Systems () () ()			
State and/or Local Requirements	() () ()		
State Commercial Passenger Fishing Boat License CA Section 7920 () () ()			
State Fish & Game Numbers Displayed on boat () () ()			
State Guide (Operation on State Waters only) License () () ()			
State Fish Size and Limits Placard () () ()			