

Deficiency Summary Worksheet:

Name of Vessel	Date of Audit	
Potential Discrepancy (briefly describe issue)	Insp. branch Concur	Req't. Issued by Inspector? <i>Sector Use only</i>
1.		
2.		
3.		
4.		
5.		
6.		



**Ferry Vessel
Auxiliary Audit**

Name of Vessel:		
Date Completed:		Time of departure:
Audit Type		
Random	Targeted*	Other**
Auditor paid for ticket:		
Yes	No	Cost: \$
Auxiliary Auditor(s)		
1. _____	2. _____	
Auditors contact number(s)		
1. _____	2. _____	
* Targeted audits are those specifically requested by the Sector. ** Please describe in notes.		
<i>INSPECTIONS BRANCH USE ONLY:</i>		
Received by Inspections (date):		
Reviewed by (initials):		
Follow on action required? Y N		
Returned as feedback (date):		
Auditor must contact the Sector Duty Officer (24/7) at 415-399-3547 BEFORE starting an audit to inform them of the ongoing activity!		
Ed3. 08/07		

This document does not establish or change Federal laws or regulations. References given are only general guides and are provided for auditor information and clarification. Auditors need not research regulatory basis for recommendations. Marine Inspectors will refer to IMO publications, CFR's, NVIC's or any locally produced cite guides for specific regulatory references before taking any action. Not all items in this book are applicable to all vessels.

This job aid **does not** constitute part of the official inspection record.

Vessel Equipment Condition:

- Is the Lighting system working? 46 CFR 115.806
- Are any lights burned out?
- Are the survival craft in good repair? 46 CFR 117.200
 - Inflatable device serviced annually 46 CFR 160
 - Stowed to float free? 46 CFR 117.130
 - Any evident damage to float, raft, or container? 36 CFR 117.137
- Do the passenger accommodations have:
 - Adequate seating for all passengers? 46 CFR 116.820
 - Sanitary conditions? 46 CFR 115.818
 - Any boxes or stores blocking isles?
- Is the lifejacket stowage OK?
 - Marked 46 CFR 122.604
 - Child size PFDs separate from adult PFDs 46 CFR 117.78
 - Unlocked (plastic security ties are OK) 46 CFR 122.604(f)
 - If lifejackets are secured with security tags, only check the stowage and marking.
- Were lifejacket donning placards posted? 46 CFR 122.516
- Did the ring lifebuoys look OK? 46 CFR 117.70
 - Was stowage OK (not permanently secured)?
 - Did they appear in good condition? (do not touch)
- Was the ground tackle in good condition? 46 CFR 121.300
 - Anchors, mooring lines
- Were there any fire extinguishers that were discharged? 46 CFR 118.500
- Were the fire hoses connected to the hydrants and in good condition? 46 CFR 118.320
(Only check if not locked or visible through glass)

Notes on the vessel's condition: _____

General Information:

Toilet facilities open to public?		
Yes	No	If yes, how many? _____
Were any security tags on spaces broken?		
Yes	No	If yes, how many? _____
Any difficulty boarding? Is the boarding process unsafe?		
Yes	No	If yes, describe in notes.
Was the auditor in uniform?		
Yes	No	
Was the auditor questioned/challenged by the crew?		
Yes	No	If yes, describe interaction in notes.
Did the crew treat the auditor with respect?		
Yes	No	If no, describe interaction in notes.
Was the Certificate of Inspection posted with ALL PAGES VISIBLE?		
Yes	No	If no, describe in notes.
Stability Letter posted? More than likely posted on the Bridge		
Yes	No	If no, describe in notes.

Notes on the audit: _____

Pollution Prevention:

- Was a pollution warning notice posted? 33 CFR 155.450

Note: Sometimes this placard is located in a restricted space.

- Was a MARPOL V placard posted (garbage)? 33 CFR 151.59
- Were there any visible fuel leaks?
- Was there any unusual fuel or sewage smell?

Security (only applies to vessels capable of carrying >150 people):

- Are signs posted at the gangway or ticket office that describes MARSEC level currently in effect? 33 CFR 104.265
- Are restricted areas marked to indicate access to the area is restricted, for example "CREW ONLY" or "RESTRICTED AREA" signs on the Machinery Space, Navigation Bridge, etc.? 33 CFR 151.59
- Are storage areas, such as life jacket lockers, fire stations, cleaning gear lockers, either closed with an easily breakable wire tie type device or searched after each passenger run by a crewmember? 33 CFR 104.285
- Did you witness the crew do a security sweep of the vessel?
- Did you witness any passenger screening by the crew or company?

Notes on Pollution or Security: _____

Communications:

- Were sound signaling devices used?
 - Whistle signal given?
 - When? _____ 33 CFR Part 86
- Were internal communications used? 46 CFR 121.602
 - Passenger safety announcement made?
 - Could you clearly hear it?

Structural Integrity:

- External hull structure 46 CFR 115.802
 - Were the decks in good condition? 46 CFR 116.300
 - Was there visible damage to the vessel?
 - Any obvious repairs, modifications, or alterations?
- Internal compartment structures 46 CFR 115.802
 - Any signs of water leaking into vessel?
 - Visible damage/fire to interior of vessel?
 - Obvious repairs, modifications, or alterations?
 - Means of escape marked & not obstructed
- Was the deck rail in good condition? 46 CFR 116.900
 - Height requirements (39.5 inches minimum)
 - Point load requirements (200 lbs. minimum)

Notes on communications and vessel's structure: _____

